

Phonon dispersion relations for Silicon

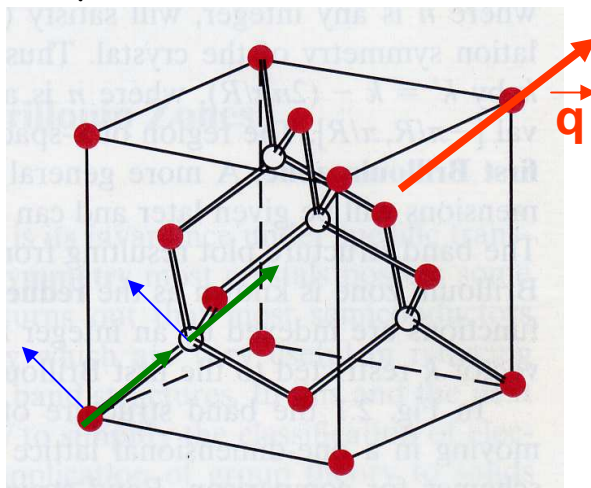
Note:

- LA (compression wave) always higher frequency than TA (shear wave)
- LO + 2 x TO degenerate at Γ^+
- 2xTO stay degenerate along the symmetry lines Δ and Λ .

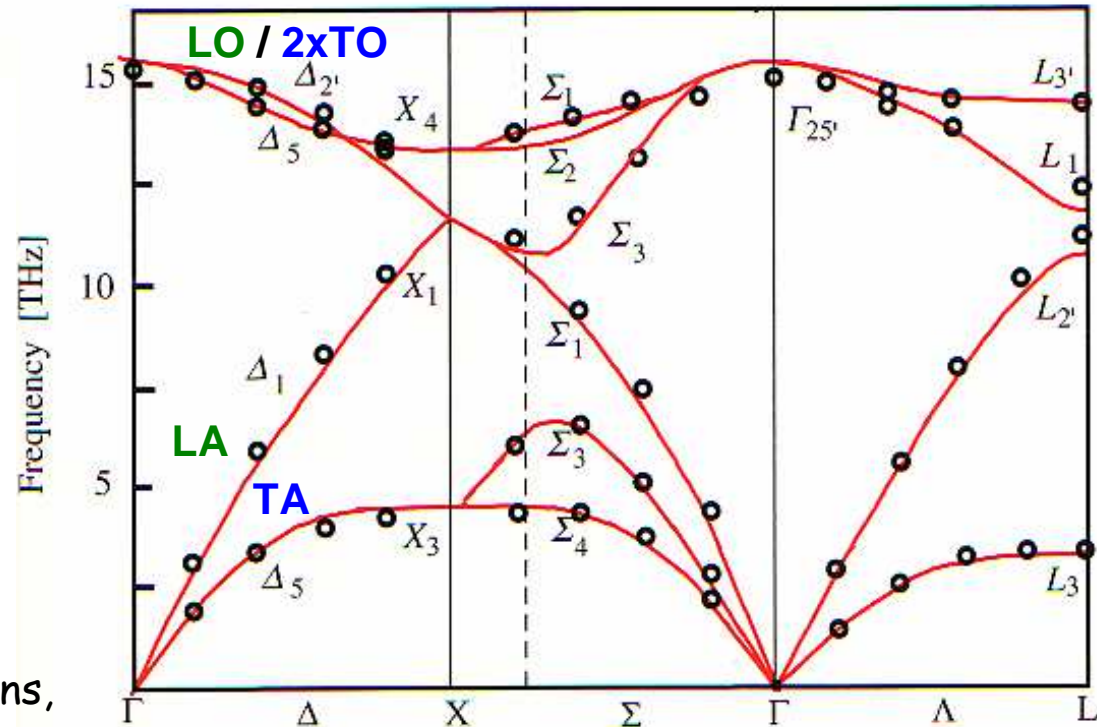
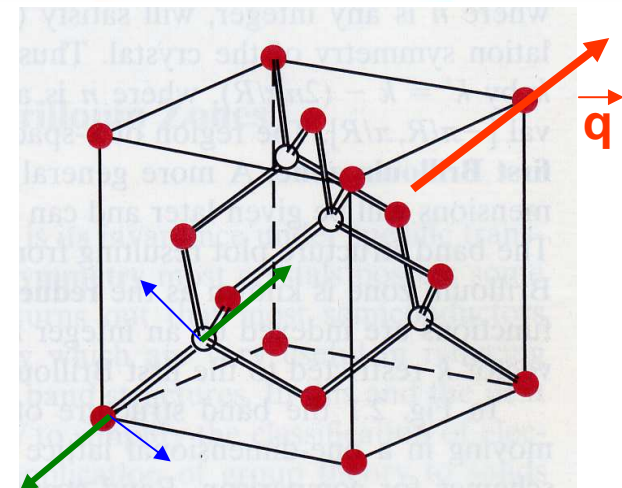
For q along high-symmetry directions, modes can be classified as

Lognitudinal L
or
Transverse T

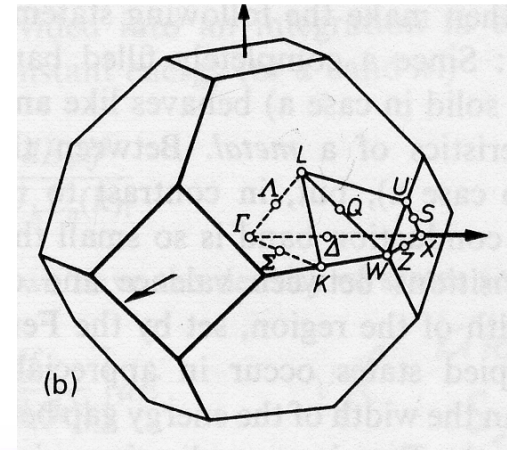
Accoustic
modes



Optical
modes

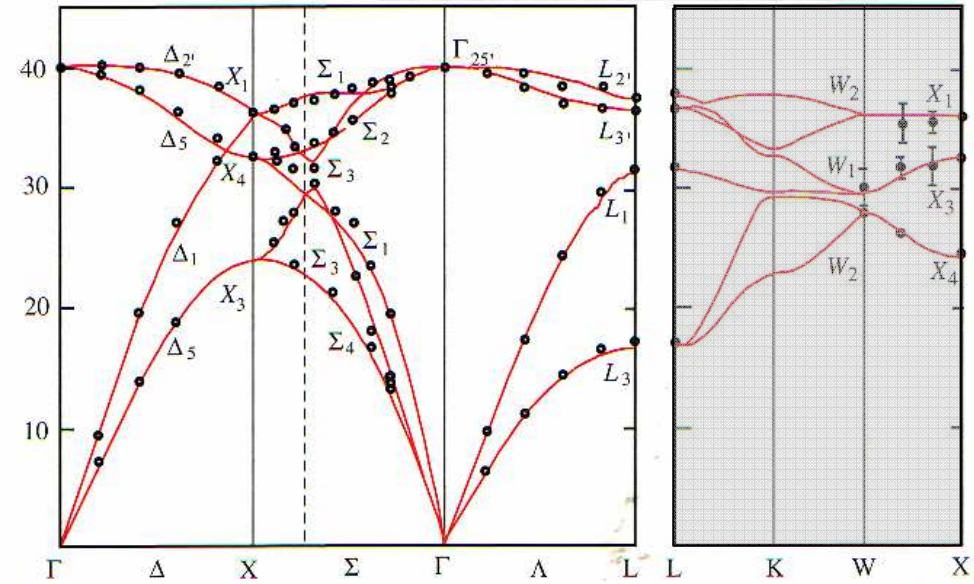
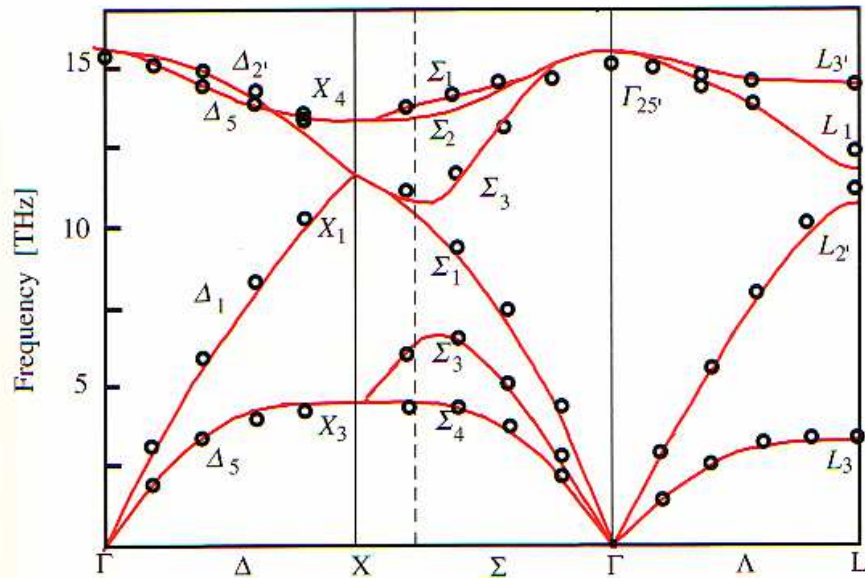


Comparison: Silicon and Diamond



Si

Diamond



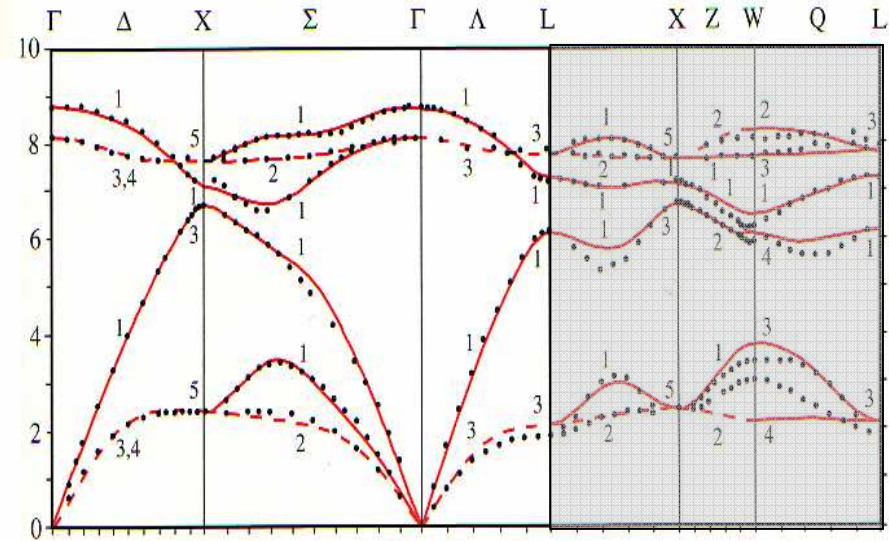
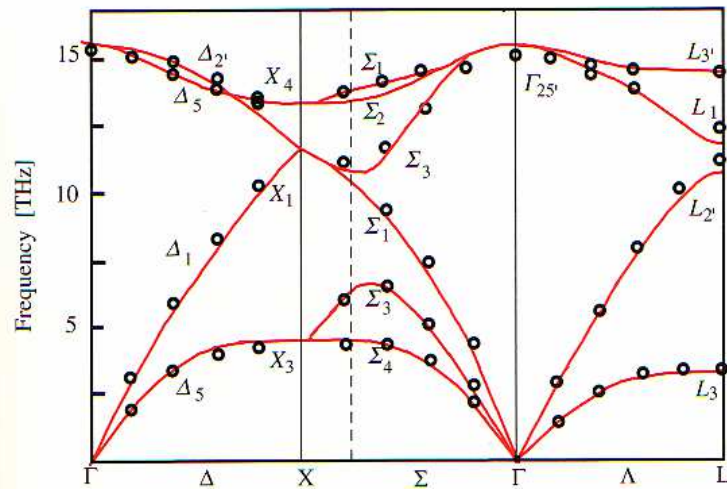
$$M_{Si} = 28 \text{ u} = 1,5^2 M_C$$

$$M_C = 12 \text{ u}$$



C not only lighter than Si, but in addition, C-C-bonds much stronger than Si-Si bonds!

Comparison: Silicon and GaAs



Si:

$M_{Si} = 28 \text{ u}$

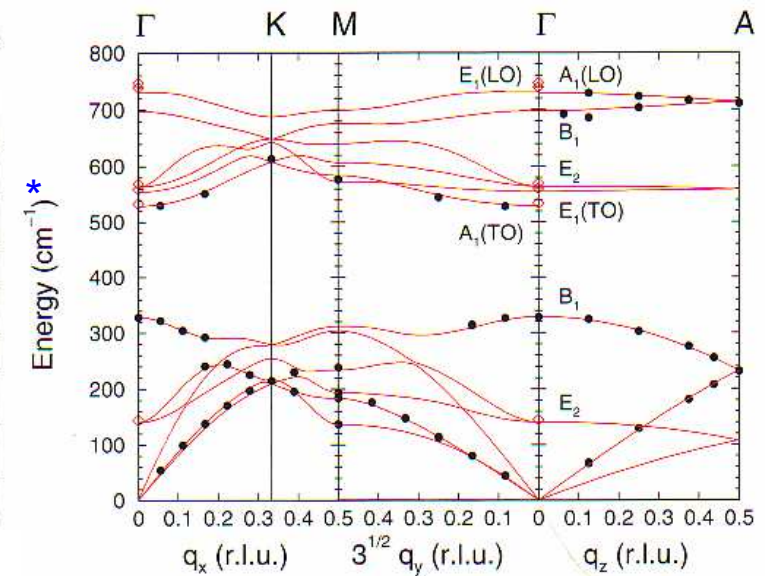
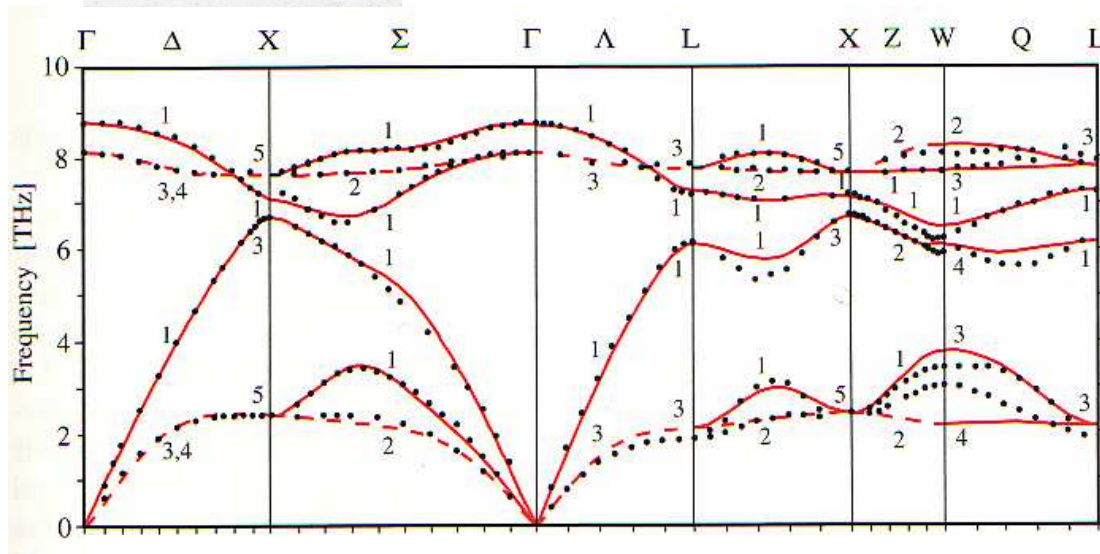
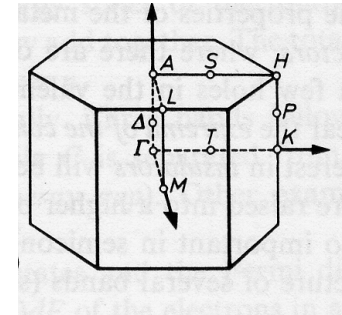
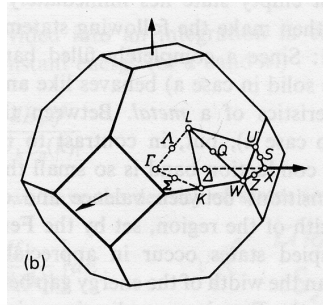
LO/TO degenerate at Γ^+

GaAs:

$M = 68 \text{ u} = 1,5^2 M_{Si}$

LO/TO split at Γ^+ due to inequivalent atoms in the base!

Comparison: GaAs (Zincblende) and GaN (Wurtzith)



* common frequency unit in IR spectroscopy:

$$\frac{E}{hc} = \frac{f}{c} \quad ; \text{ unit cm}^{-1} \text{ also named 'Kayser'}$$

With increasing mass ratio:

- Larger frequency (=energy) gap between optical and acoustic branches
- Optical branches get flatter!



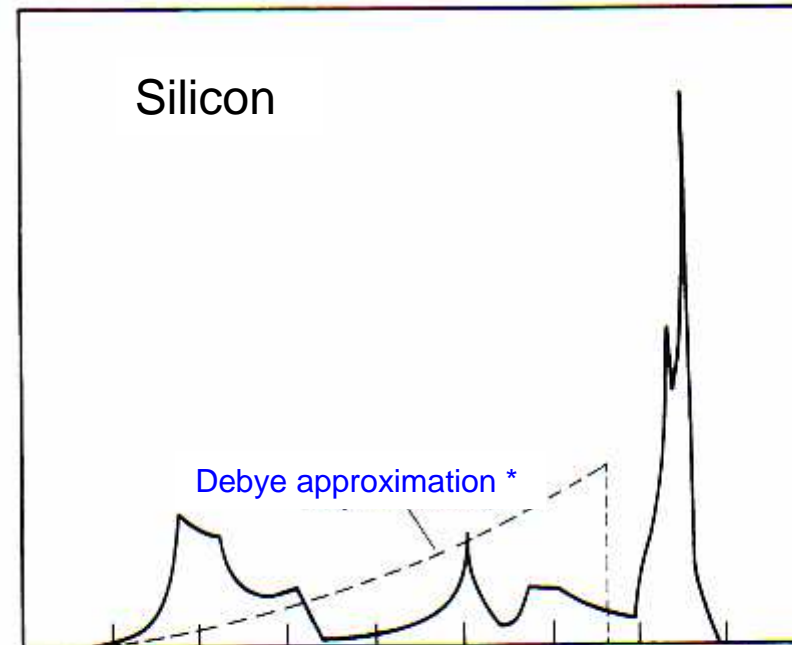
Already reproduced by the 'linear chain model' (see, e.g. Ibach)

Phonon Density of States

For thermodynamic phenomena related to phonons, a less specific characterization of the phonons is in many cases sufficient:

The phonon Density of States $Z(\omega)$. Definition like for electrons:

$$Z(\omega) = \frac{1}{(2\pi)^3} \sum_n \int_{\text{frequency surface}} \frac{dA_{\vec{q}}}{|\vec{\nabla}_{\vec{q}} \omega_n(\vec{q})|}$$



Frequency

*Debye approximation for the phonons :

Three phonon branches with linear dispersion relations $\omega_n(\vec{q}) = v_n \cdot |\vec{q}|$ corresponding to the low-frequency ranges of the TA and LA branches and a common cut-off frequency such that the correct total number of phonon modes (including the optical ones) is reproduced.

$$\longrightarrow N_n(\omega) = \frac{1}{(2\pi)^3} \cdot \frac{4}{3} \pi \left(\frac{\omega}{v_n}\right)^3 \longrightarrow Z_n(\omega) = \frac{dN_n}{d\omega} = \frac{4\pi}{(2\pi)^3 v_n^3} \cdot \omega^2$$

and thus for $n = \text{TA, TA, TO}$

$$Z(\omega) = \frac{4\pi}{(2\pi)^3} \cdot \left(\frac{2}{v_{\text{TA}}^3} + \frac{1}{v_{\text{LA}}^3} \right) \cdot \omega^2$$